

Practitioner's Docket No. 2096439_1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Way
Serial No.: 09/990,196
Filed: November 21, 2001
For: Interconnected Broadcast and Select Optical
Networks with Shared Wavelengths

Group 2633
Examiner: Not Assigned
Batch: Not Assigned

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWING(S) TO CORRECT INFORMALITIES
WITHIN TWO MONTH PERIOD OF RESPONSE SET
IN NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
UNDER 37 CFR 1.53(b)

SUBMISSION OF DRAWING(S)

1. To correct the informalities in the drawings and in accordance with the Notice to File Missing Parts NonProvisional Application dated 12/07/2001, applicants submit herewith formal drawing(s) for this application.

Number of sheets of drawings submitted: 18

2. The two month period of response set in the Notice to File Missing Parts NonProvisional Application expires on 02/07/2002. This submission is on or before this expiry date.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Paul Davis, Reg. No. 29,294

650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 021971

CERTIFICATE OF MAILING BY "EXPRESS MAIL"(37 C.F.R. 1.10)

"Express Mail Mailing Label No. EL 713 752 952 US

Date of Deposit 1/31/02

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

Date: 1/31/02

Signatures

WARNING.

"Facsimile transmissions are not permitted and if submitted will not be accorded a date of receipt" for "(4) Drawings submitted under §§ 1.81, 1.83 through 1.85, 1.152, 1.165, 1.174, 1.437 . . ." 37 C.F.R. 1.6(d)(4).

(Transmittal of New Drawing(s) to Correct Informalities Within Three Month Period of Response Set in Notice of Allowability page 1)

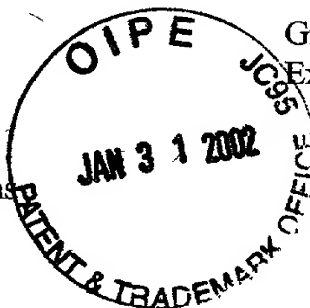
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt
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#3

In re application of: Way
Serial No.: 09/990,196
Filed: November 21, 2001
For: Interconnected Broadcast and Select
Optical Networks with Shared Wavelengths

Group No.: 2633
Examiner: Not Assigned

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COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 12/07/2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

FORMAL DRAWINGS

- IV. Enclosed are Formal Drawings in compliance with 37 CFR 1.84.

SMALL ENTITY STATUS

- V. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$414.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$84.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
6. Total completion fees	\$973.00

EXTENSION OF TIME

- VI. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due: \$0

REQUEST FOR CORRECTED FILING RECEIPT

- VII. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Winston Way, Irvine, CA
2. Priority Claim	- THIS APPLN CLAIMS BENEFIT OF 60/309,220 07/31/2001 AND IS A CON OF 09/575,811 05/22/2000
3. Entity status	- Small Entity

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VIII. The total fee due is: Completion fees \$973.00

Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$998.00

PAYMENT OF FEES

- IX. Charge Account No. 23-2415 (26084-717) in the amount of \$998.00.
Please charge Account No. 23-2415 (26084-717) for any fees that may be due by this paper.

Paul Davis, Reg. No. 29,294

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Label No. EL 713 752 952 45) in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on the date indicated below. Paul Davis 1/31/02

Wilson Sonsini Goodrich & Rosati
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Customer No. 021971

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DEC 11 2001

WILSON SONSINI
GOODRICH & ROSATI

UNITED STATES PATENT AND TRADEMARK OFFICE

P.D. Page 1 of 2

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,196	11/21/2001	Winston Way	26084-717

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

CONFIRMATION NO. 1219

FORMALITIES LETTER



OC000000007173825

Date Mailed: 12/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1080.
 - \$828 for 46 total claims over 20.
 - \$252 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1950.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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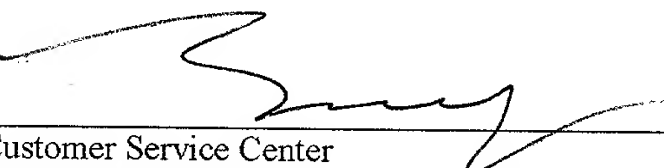
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02/07/2002

370.00 CH
414.00 CH
84.00 CH
65.00 CH

01 FC:201
02 FC:203
03 FC:202
04 FC:205

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



2002 JAN 31 10 00 AM